

PATENT  
DOCKET NO.: 460-38

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : HEINO HERMEKING  
SERIAL NO. : not yet assigned  
FILED : simultaneously herewith  
FOR : DIAPHRAGM FOR IMPLANTATION IN THE  
ANTERIOR SECTION OF A HUMAN EYE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

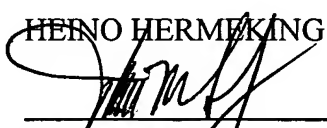
Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner the references identified on PTO Form-1449 enclosed herewith some of which were cited in the International Search Report (copy enclosed). A copy of each reference is also enclosed herewith.

These citations do not constitute an admission by Applicant that the references are relevant or material to the patentability of the claims.

Respectfully submitted,

HEINO HERMEKING

  
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Enclosures: PTO Form-1449  
w/eleven (11) cited references

Approved for use through 07/31/2006. OMB 0651-0031

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Heino Hermekinga

### Art Unit

Examiner Name

Attorney Docket Number

460-38

Sheet

1

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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>2</sup> |
|--------------------|-----------------------|---|------------------|---|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |   |   |                |
|                    |                       | WO 98/56314   | 12-17-1998       | Heino Hermeking                                 |   |                |
|                    |                       | WO 01/89425 A1  | 11-29-2001       | Stuart J. Cummings                              |   |                |
|                    |                       | Europe 0 319 154  | 06-07-1989       | Charles D. Kelman                               |   |                |
|                    |                       | France 2 696 340  | 01-28-93B        | Brito Muguerza Maria Came                       |   |                |
|                    |                       | WO 02/09619 A2  | 02-07-2002       | Kiyoshi Okada                                   |   |                |
|                    |                       | WO 01/37762 A1  | 05/31/2001       | Paul et al.                                     |   |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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